



## Application Form Checklist

- Complete Application Form as follows:
  - Page 1:**
    - Complete amount to be invested in USD
  - Pages 4 - 6:**
    - **Individuals to complete APPENDIX (A)**
    - **Designated Bodies to complete APPENDIX (B)**
    - **Corporate Investors to complete APPENDIX (C)**
  - Page 7:**
    - Complete Anti money Laundering Checklist – Item 1
  - Page 8:**
    - Complete name, address and contact details of the Registered Holder/s
    - Complete amount to be invested
    - Complete details of the remitting bank
    - All applicants to sign
    - Complete intermediary details – intermediary to sign
  - Page 9: - Bank Instruction Form**
    - Complete remitting bank address and account details, transfer amount and client details
    - Account holder/s to sign
- Certified copy of Passport
- Certified Confirmation of Address – 2 forms i.e. utility bill, bank or credit card statement (must be less than 3 months old).
- Send the completed application form and all supporting documentation to: Aurora, c/o PO Box 57108, Limassol, Cyprus. Tel: +357 25 817488





## Private Client Portfolio Share Application Form

To: Private Client Portfolio  
C/o Fastnet Ireland Ltd  
One Custom House Plaza  
IFSC, Dublin 1  
Ireland

Tel: + 353 1 418 0700  
Fax: + 353 1 790 0457

I/We hereby acknowledge that I/we have received and considered the prospectus and any relevant supplemental prospectus (together the "Prospectus") in connection with The Private Client Portfolio (the "Company") and this application is made on the terms thereof and subject to the provisions of the Company's Memorandum and Articles of Association from time to time in force.

I/We hereby acknowledge that I/we have read and fully considered and understand the Prospectus in connection with this application for Shares (as defined in the Prospectus) in the Company and that I/we have evaluated my/our investment in the Company in the light of my/our financial condition and resources. I/We confirm that I/we am/are aware of the risks involved in investing in the Company and the inherent risk of suffering a capital loss.

I/We hereby further acknowledge that I/we am/are applying for Shares on the basis of the Prospectus and that I/we have not relied on any representations or statements made or information provided by or on behalf of the Company other than information contained in the Prospectus.

I/We wish to invest in the following funds of the Company as indicated below:

<b>Fund Class</b>	<b>Aurora Defined Benefits Fund - USD Accelerated Class</b>
<b>Amount</b>	<b>USD</b>

### FOR SUBSCRIPTIONS BY TELEGRAPHIC TRANSFER TO:

**Bank: Bank of Ireland, International Banking, Customs House Plaza, Harbourmaster Place, IFSC, Dublin**

**Swift Address: BOFIE2D**

**Account Name: Fastnet Ireland Limited The Private Client Portfolio**

**IBAN Number: IE30 BOFI 9013 9444 9240 55**

**Account No: 44924055 (Please quote investor name and selected fund/product name as a reference).**

**Correspondent Bank: Bank of New York, New York**

**ABA: 021 000 018**

**Swift Address: IRVTUS3N**

**PLEASE FOLLOW IMPORTANT INSTRUCTIONS ON PAGE 2:**

Please instruct your bankers to include their details and your account name and number on the payment; failure to do so may delay your subscription.

Please arrange for the payment to be made by Swift MT103.

- 1) Please provide required information about the Investor on the appropriate Appendix:
  - for Individuals, Appendix A on page 4;
  - for Designated Bodies \*, Appendix B on page 5 – on entity's headed paper;
  - for Corporate Entities, Appendix C on page 6.
- 2) Please complete the Anti-Money Laundering Checklist on page 7.
- 3) Please provide the Applicant Details on page 8, and the Intermediary's Details, if any.

**IMPORTANT NOTICE: Subscription Applications will not be accepted unless the relevant Anti-Money Laundering Client Identification Form (Appendix A, B or C as above) is completed in all respects, as well as the Anti Money Laundering Checklist, and the Applicant Details.**

**\* A Designated Body is defined as an individual or other entity which is regulated in respect of banking or investment services in a country which is a member of the European Union or the Financial Action Task Force.**

I/We hereby represent and warrant to the Company (for itself and on behalf of all shareholders in the Company) that I/we am/are not a US Person as defined in the Prospectus and that I/we am/are not acting on behalf of or for the benefit of nor do I/we intend transferring any Shares in the Company which I/we may purchase to any person who is a US Person.

I/We hereby agree to indemnify and keep indemnified the Company against any loss arising to it as a result of any breach of any representation, warranty, covenant or confirmation by me/us in the Application Form or from my/our failure to disclose any relevant details or provide it with all information requested by it or by the Administrator, Registrar and Transfer Agent on its behalf.

In the case of delay or failure to provide satisfactory information, each of the Company and the Administrator, Registrar and Transfer Agent may take such action (including declining to accept an application) as they think fit.

I/We am/are fully empowered and have the authority to make this investment whether the investment is my/our own or is made on behalf of another person or institution.

I/We confirm that I/we have the right and authority to request redemption or repurchase of Shares and confirm that I/we will comply with the redemption and repurchase procedures set out in the Prospectus. All redemption or repurchase instructions must be given in writing and must be accompanied by an endorsed share certificate if such has been issued, or failing which, the appropriate account number must be quoted.

I/We confirm that I am/we are 18 years of age or over.

I/We agree to provide these confirmations to the Company at such times as the Company may request, and to provide on request such certifications, documents or other evidence as the Company may reasonably require substantiating such representations.

I/We agree to notify the Company immediately if I/we become aware that any of the confirmations is no longer accurate and complete in all respects and agree immediately either to sell or to tender to the Company for redemption a sufficient number of Shares to allow the confirmation to be made.

I/We hereby accept such lesser number of Shares, if any, than may be specified above in respect of which this application/transfer may be accepted.

The Administrator, Registrar and Transfer Agent, the Investment Manager and the Company are hereby authorized and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us in written form or by facsimile. If the instructions are given by me/us by facsimile, I/we undertake to confirm them in writing. I/We hereby agree to indemnify each of the Administrator, Registrar and Transfer Agent and its affiliates, the Investment Manager and the Company and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile instructions. The Administrator, Registrar and Transfer Agent and its affiliates, the Investment Manager and the Company may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorized persons.

I/We request that the Shares issued pursuant to this application are registered in the name and address set out in the registration details section of this application.

**(In respect of Joint applicants only)** We direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor of such survivor or survivors.

### **Data Protection Disclosure for Application Forms**

Your personal information will be handled by the Administrator, Registrar and Transfer Agent and its Agent in Dublin, (as Data Processor on behalf of the Company) in accordance with the Data Protection Acts 1988 to 2003. Your information will be processed for the purposes of carrying out the services of Administrator, Registrar and Transfer Agent of the Company and to comply with legal obligations including legal obligations under company law and anti-money laundering legislation. The Administrator, Registrar and Transfer Agent or Company will disclose your information to third parties where necessary or for legitimate business interests. This may include, but is not limited to, disclosure to third parties such as auditors, the Irish Financial Services Regulatory Authority, or any court or regulatory authority or agency of competent jurisdiction or otherwise by any applicable law or regulation, or agents of the Administrator, Registrar and Transfer Agent who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements. The Applicant hereby consents to the processing of his/her information and the disclosure of his/her information as outlined above and to the Investment Manager and where necessary or in the Company's or the Administrator, Registrar and Transfer Agent's legitimate interests to any company in the Administrator, Registrar and Transfer Agent's and/or the Investment Manager's group of companies or agents of the Administrator, Registrar and Transfer Agent including companies situated in countries outside of the European Economic Area which may not have the same data protection laws as in Ireland.

### **Anti Money Laundering**

I/We acknowledge that due to applicable anti-money laundering legislation the Administrator, Registrar and Transfer Agent and its affiliates may require further identification of the applicant(s) before the application can be processed and the Administrator, Registrar and Transfer Agent and its affiliates shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required by the Administrator, Registrar and Transfer Agent or its affiliates or agents and has not been provided by me/us.

I/We hereby consent to the release by the Administrator, Registrar and Transfer Agent and its affiliates of any information provided by me/us to any relevant regulatory authority in connection with anti-money laundering checks.

## APPENDIX (A)

### Information Required From Individuals

**FOR INDIVIDUALS ONLY:** I/We declare that I am a/we are private investor/s who is/are making the subscription on my/our own behalf and not, in any way, as representative/s of any other party.

Please complete the following:

Name Occupation Date of Birth	Nationality Citizenship	Passport Number
1.		
2.		
3.		
4.		

### IMPORTANT:

In order to verify your identity we would ask that the following forms of identification be sent to us as soon as possible:

**1. Proof of Name**

A certified copy of **EITHER** your current passport, **OR** (for European Union nationals) your National Identity Card, **verified by a Notary Public, Solicitor, Bank Manager, Accountant or a similar professional.**

**2. Two Proofs of Address**

**Two** of the following: **recent** original utility bills in your name and/or **recent** original Bank/Building Society statements in your name or such other proof of address as may be agreed with and acceptable to the Administrator, Registrar and Transfer Agent and its affiliates in any particular circumstance.

Please note that the original utility bills or Bank/Building Society statements can not be from the same entity.

**3. Source of funds**

A remittance letter from your paying bank, confirming the source of funds.

## APPENDIX (B)

### DESIGNATED BODIES DECLARATION\*

(FOR BANKS, INSURANCE COMPANIES AND OTHER INSTITUTIONAL INVESTORS):

**Customer Name:** \_\_\_\_\_

**Address:** \_\_\_\_\_

I/We declare that I am/we are licensed as \_\_\_\_\_  
(description) by the \_\_\_\_\_ (regulatory  
body) under the laws of \_\_\_\_\_ (country).

We confirm that in all transactions where we conduct such business activities with you whilst acting as agent/intermediary of a principal, evidence of the identity and residency of the principal will have been obtained and recorded by us as part of our procedures. We reference the name and address of the principal above. We further confirm that we will retain for such period that may be required by local law, but not less than 5 years, following the redemption of the investment, documentary evidence establishing such identity and residency. Upon request, we will provide you with access to our records of the identity and residency of the principal to the extent that this is permissible by law.

\* A Designated Body is defined as an individual or other entity which is regulated in respect of banking or investment services in a country which is a member of the European Union or the Financial Action Task Force.

## APPENDIX (C)

### INFORMATION REQUIRED FROM CORPORATE SUBSCRIBERS

**CORPORATE SUBSCRIBERS ONLY:** I/We, Directors of the named subscriber, hereby declare that the subscriber is a Corporation not licensed under the laws of any country as a financial intermediary, but registered on the \_\_\_\_\_ (date) in \_\_\_\_\_ (country) as a corporation, with the power to make this subscription. I/We further declare that the subscription is being made only on behalf of the corporation and that the following persons are all of the Directors of the Corporation:

(1) Name \_\_\_\_\_

Residential Address \_\_\_\_\_

Business Address \_\_\_\_\_

Occupation \_\_\_\_\_ Date of Birth \_\_\_\_\_

(2) Name \_\_\_\_\_

Residential Address \_\_\_\_\_

Business Address \_\_\_\_\_

Occupation \_\_\_\_\_ Date of Birth \_\_\_\_\_

(3) Name \_\_\_\_\_

Residential Address \_\_\_\_\_

Business Address \_\_\_\_\_

Occupation \_\_\_\_\_ Date of Birth \_\_\_\_\_

I/We further agree to notify the Administrator, Registrar and Transfer Agent of the Company of any changes in Directors arising whilst the corporation maintains an investment in the Company.

#### **IMPORTANT: The following documentation is also required:**

- Original certified copy of the Certificate of Incorporation or Certificate to Trade.
- Original certified copy of Memorandum & Articles of Association (or Equivalent) or latest published report of accounts.
- A list (on headed notepaper) of names and addresses of your shareholders holding 10% or more of the issued share capital of the Company (where these are individuals, occupations and dates of birth are also required).
- Properly authorized mandate of the Directors to open/ operate an account or establish a business relationship with us.
- Proof of name and permanent home address of all directors and of all authorized signatories on the account (original certified copy of passport or national identity card and two of the following (for all directors): recent original utility bills or bank/ building society statements) - Not from the same entity or such other proof of address as may be agreed with and acceptable to the Administrator, Registrar and Transfer Agent and its affiliates in any particular circumstance.
- Certified copy of Authorized Signatory List.

**The certification should be by a Notary Public, Solicitor, Bank Manager, Accountant or Similar Professional.**

## Anti Money Laundering Checklist:

One of the boxes from 1 to 4 below **MUST** be answered 'Yes')

1. If the subscriber is an Individual, has Form (A) been completed in all respects and all required documentation submitted?	Yes	No
2. If the subscriber is a Designated Body, has Form (B) Declaration been completed?	Yes	No
3. If the subscriber is a Corporation, has Form (C) been completed in all respects and all required documentation submitted?	Yes	No
4. If the subscriber is a Partnership, Charity, Trust or Pension, has the administrator been contacted in order to ascertain what documents are required?	Yes	No

### NOTES:

1. This **ORIGINAL** application must be received by Fastnet Ireland Limited, One Custom House Plaza, IFSC, Dublin 1, Ireland (re The Private Client Portfolio).
2. If this form is not fully completed to the satisfaction of the Administrator, Registrar and Transfer Agent, the application may not be accepted.
3. To be valid, application forms must be signed by each applicant.
4. (a) In the case of a **partnership** (not a limited company) applications should be in the name(s) of and signed by the partners(s).  
(b) In the case of a **trust** applications should be in the name(s) of and signed by the trustee(s).

In both of the above cases, the Administrator, Registrar, and Transfer Agent may require additional information re the registered Shareholder.

5. A **corporation** should complete this form under seal or under the hand of a duly authorized official who should state his capacity and furnish a certified copy of the authority pursuant to which such official is authorized.
6. If this application form is signed under the **power of attorney**, such power of attorney or a duly certified copy thereof must accompany this application form.
7. Where the persons other than the signatories on the Application Form are authorized to act on behalf of the registered shareholder to request redemptions or other account details, please provide details and include an authorized signatories schedule.

## APPLICANT DETAILS

(COMPLETE IN BLOCK LETTERS PLEASE)

### REGISTRATION DETAILS

Registered Shareholders: .....

Registered address: .....

Mailing address if different from  
Registered address: .....

Telephone No: ..... E-mail address: .....

Fax No: ..... Contact Name: .....

### INVESTMENT DETAILS

Amount to be invested: ..... Currency: USD/ .....

### BANKING DETAILS

(Redemption payments made to this account)

Bank: .....

Address: .....

SWIFT Address: .....

IBAN: .....

Account Number: .....

Account Name: .....

For Further Credit to: .....

### AUTHORISED SIGNATORIES

Applicant's Signature: \_\_\_\_\_ Date \_\_\_\_\_

Applicant's Signature: \_\_\_\_\_ Date \_\_\_\_\_

Applicant's Signature: \_\_\_\_\_ Date \_\_\_\_\_

Applicant's Signature: \_\_\_\_\_ Date \_\_\_\_\_

Intermediary's Name:  
Intermediary's Address:  
Telephone Number:  
Facsimile Number:  
Intermediary's Signature:

Intermediary's Stamp



## Bank Transfer Form

The Manager

Date \_\_\_\_\_

\_\_\_\_\_ Bank

\_\_\_\_\_

\_\_\_\_\_

Dear Sir,

Account number \_\_\_\_\_

Account holder \_\_\_\_\_

Please debit the above named account and transfer, after deduction of all your charges, the net amount of USD \_\_\_\_\_

by telegraphic transfer to:

**Bank: Bank of Ireland, International Banking, Customs House Plaza, Harbourmaster Place, IFSC, Dublin**  
**Swift Address: BOFIE2D**  
**Account Name: Fastnet Ireland Limited The Private Client Portfolio**  
**IBAN Number: IE30 BOFI 9013 9444 9240 55**  
**Account No: 44924055 (Please quote investor name and selected fund/product name as a reference).**

**Correspondent Bank: Bank of New York, New York**  
**ABA: 021 000 018**  
**Swift Address: IRVTUS3N**

Yours Sincerely,

Client Signature.

Name: .....

Address: .....





*Déclaration de l'origine des fonds investis*  
*Declaration of the origin of funds*

Nom du client / *Client name* \_\_\_\_\_

Origine des fonds (merci de cocher) / *Origin of funds (please tick accordingly):*

- |                          |   |
|--------------------------|---|
| <input type="checkbox"/> | <b><i>Epargne / Savings</i></b>   |
| <input type="checkbox"/> | <b><i>Héritage / Inheritance</i></b>                                      |
| <input type="checkbox"/> | <b><i>Salaires / Wages</i></b>  |
| <input type="checkbox"/> | <b><i>Gains (jeux, loterie, etc.) / Earnings (game, gamble, etc.)</i></b> |
| <input type="checkbox"/> | <b><i>Desinvestissements financiers / Divestment</i></b>                  |
| <input type="checkbox"/> | <b><i>Cession de biens immobiliers / Sale of property</i></b>             |
| <input type="checkbox"/> | <b><i>Autres (à préciser) / Other (please specify):</i></b>               |

\_\_\_\_\_  
\_\_\_\_\_

Date/Date \_\_\_\_\_

Signature / *Client* \_\_\_\_\_

Signature / *Client* \_\_\_\_\_